PROPOSAL FOR AN INDICATIVE METHOD FOR ASSESSING AND APPORTIONING THE SOURCE OF AIR POLLUTION

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ABSTRACT

The main objective was to provide a feasible approach for approximately apportioning the sources of air pollution based on simple calculations using measured concentrations of ambient air pollutants and meteorological data. The methods are based on dividing a monitored area into sectors using a common compass rose and obtaining hourly average concentrations of pollutants and relevant data on wind direction and speed over at least three seasons of a year. As a result, the relative contributions of all sources of air pollution in an area with a monitoring station are determined, together with the absolute contributions of single or groups of sources of pollution and the levels to which the emissions need to be reduced to meet the requirements of Directive 2008/50/ES. The proposed methods are verified using data from measuring stations complying with that required by this Directive and are suitable for improving plans aimed at reducing air pollution as defined by the same document. This approach using data for a particular area revealed a total concentration of PM\text{10} of 22.72 µg/m\text{3}, with the maximum permissible concentration of 12.33 µg/m\text{3} this necessitates a reduction in concentration of the contributions from this selected group sources of 10.37 µg/m\text{3}. When these simple methods are used, further and more accurate apportionments of the source could be made using more complex mathematical modelling. However, this is only necessary in areas with many sources of pollution. Although these methods cannot compete with disperse and other types of modelling they may be useful in providing a basic overview of the situation in a particular area.

Keywords: air pollution, PM\text{10} concentration, source apportionment, Directive 2008/50/ES, pollutant monitoring, air quality improvement plans

Introduction

Air pollution is an important environmental risk factor with an unquestionable adverse effect on human health (Amadio et al. 2009; Ruiz et al. 2011). The fact that the levels of risk to health from air pollutants are not negligible is mainly due to the political and economic status of a country with a sharp contrast between social pressure toward an acceptable air quality and the financial and economic pressures for sustaining production and consumption. The policy of a democratic, law-abiding state influenced by these two opposing forces usually seeks (from a historical perspective, at least temporarily) an equilibrium as expressed in its legislation (DIRECTIVE 2008/50/EC 2008). Such an equilibrium, however, may be easily disturbed by inadequate inspection or adherence to the adopted legal norms. Yet an apparent problem in many countries (Mijić et al. 2009; Masiol et al. 2010; Unal et al. 2011), including the Czech Republic, is non-adherence to legal limits concerning ambient air pollutants.

Health risks of ambient air pollutants acceptable for society are, among others, legislatively regulated by limit values for pollutants in the atmospheric boundary layer, particularly in residential areas or agglomerations (US EPA 2000). Legislation contains numerous requirements concerning acquisition and assessment of data on air pollution. Thus, it might be said that from a legislative point of view, the issue has been resolved. Unfortunately, the opposite is true since the regulations do not answer the fundamental questions of what is the contribution of individual sources of pollution to the overall pollution in a particular area, for which sources corrective measures are needed to improve air quality and the extent to which the regulations are not adhered to in that area. Such solutions should be primarily fair, reliable and simple so that they could be implemented using data that are collected as required by the above legislation and thus are immediately available.

Currently, the contribution to air pollution of individual sources is usually determined from data on sources of emission (Juda-Rezler et al. 2006; Srivastava et al. 2008; Viana et al. 2008; Thimmaiah et al. 2009; Mooibroek et al. 2011) using dispersion (diffusion) models (Perez-Roa et al. 2008; Thimmaiah et al. 2009; Mooibroek et al. 2011) using dispersion (diffusion) models (Perez-Roa et al. 2008). Given the fact that emissions are spread in the air by diffusion and flow of air and the relations describing these phenomena are relatively complicated (Ci-morelli et al. 2004), dispersion models used to calculate air pollutant concentrations utilize many simplifications, leading to results different from the measured data. Although mathematical models are indispensable for predicting air pollution and additional calculations related to the measured data, this approach has other practical drawbacks. One example is the frequent unreliability of...
officially reported data on emissions, another the irrelevant results of dispersion studies due to unavailable data on some sources of pollution in the monitored area.

This article proposes methods that preferably use accurate data that are an increasingly reliable source of information on air pollution as compared with dispersion models and are thus, in accordance with valid legislation, a critical starting point for assessing higher emission loads, that is, those close to or beyond the limit values. The objective of the methods is to use as simple processing of the measured data as possible (Xiao et al. 2012) and additional dispersion models to estimate the contribution of individual sources of pollution in a particular area so that these data may be used as a starting point for adopting regional programs for reducing air polluting emissions, which determine mandatory corrective measures aimed at improving air quality and reducing risks to health.

Materials and Methods

Measurements

The input data set comprises hourly average concentrations \( (C_h) \) of ambient air pollutants. The approach is used for pollutants transported to a monitoring station in a particular area by diffusion and flow of air from all surrounding sources. Data on pollutant concentrations obtained from fixed monitoring stations are in accordance with Directive 2008/50/EC of the European Parliament and the Council (hereinafter the Directive) (Directive 2008/50/EC Chapter 5 2008) are, for the purposes of public health protection, considered valid and representative for the entire location.

Hourly data on pollutant concentrations \( (C_h) \) and wind direction and speed must be acquired over a longer time period (Hrust et al. 2009) to eliminate seasonal and yearly fluctuations and ensure that the average concentrations over the entire period are really representative for the area. The longer time period refers to the time for which the Average Exposure Indicator is calculated in accordance with the Directive, for example, 3 years.

A monitored area may be divided into sectors \( (k; \text{ directions as defined angles with vertices at a sampling point}) \) according to the cardinal, intercardinal and secondary intercardinal points (Fig. 1).
Such division into sectors may be used for all monitored areas, with sectors having their vertices at the sampling points (Fig. 2).

The data on hourly average concentrations \(C_k\) of an air pollutant, hourly average wind direction and speed values may be used to calculate average concentrations \(C_k\) of the pollutant for individual sectors over the entire time period as follows:

\[
C_k = \frac{N_k}{\sum_{k=1}^{N_s} C_{kh}} \quad \text{[µg m}^{-3}\text{]} \quad (1)
\]

Where:
- \(k\) is the sector (each sector is an angle of 22.5°; see Fig. 1);
- \(h\) is the hourly value, or average value per hour;
- \(C_{kh}\) [µg m\(^{-3}\)] is the hourly average concentration of the pollutant in the air at an hourly average wind direction \(u_h > 0.5\) m s\(^{-1}\) from sector \(k\);
- \(N_k\) is the number (frequency) of hourly average wind directions (and measured concentrations \(C_{kh}\)) over the entire time period from sector \(k\); and
- \(C_k\) [µg m\(^{-3}\)] is the average concentration of the pollutant in the air over the entire time period with an hourly average mean direction \(u_k > 0.5\) m s\(^{-1}\) from sector \(k\).

Authors’ note: The average or median value of a set of data on concentrations of a pollutant should be calculated with regard to the statistical distribution of the data. When determining average concentrations in compliance with legislation valid in EU countries, this approach is not used and the law requires that median values are calculated as arithmetic means. Such an approach, however, is not statistically correct.

Thus, the average concentrations \(C_k\) represent partial concentrations of the pollutant at a sampling point in a monitored area carried by the air flow from a particular circular sector downwind if the wind speed is \(u_k > 0.5\) m s\(^{-1}\). Under calm wind conditions, i.e. \(u_k \leq 0.5\) m s\(^{-1}\), the average concentration at the sampling point \(C_s\) is calculated as follows:

\[
C_s = \frac{\sum_{k=1}^{N_s} C_{sh}}{N_s} \quad \text{[µg m}^{-3}\text{]} \quad (2)
\]

Where \(C_{sh}\) [µg m\(^{-3}\)] is the hourly average concentration of the pollutant under calm wind conditions, i.e. \(u_k \leq 0.5\) m s\(^{-1}\) (US EPA 2000), and \(N_s\) is the number (frequency) of concentrations \(C_{sh}\) measured over the entire period. The lower and upper limits of the confidence interval (at a significance level of 95%) for the average concentrations \(C_k\) and \(C_s\) are referred to as \(C_{k,95}\), \(C_{k,95}\), \(C_{s,95}\) and \(C_{s,95}\) respectively. These may be used to determine the significance of differences in concentrations between individual sectors or concentrations under calm wind conditions.

Relative frequencies \(R_k\) and \(R_s\) may be expressed as quotients:

\[
R_k = \frac{N_k}{\sum_{k=1}^{N_s} N_k} \quad \text{(3)}
\]

\[
R_s = \frac{N_s}{\sum_{k=1}^{N_s} N_k} \quad \text{(4)}
\]

with

\[
\left(\sum_{k=1}^{N_s} R_k\right) + R_s = 1 \quad \text{(5)}
\]

Relative contributions \(P_k\) and \(P_s\) of the pollutant may be estimated from average concentrations \(C_k\) and \(C_s\) of the pollutant and relevant frequencies \(N_k\) and \(N_s\), respectively:

\[
P_k = \frac{N_k C_k}{\sum_{k=1}^{N_s} N_k C_k} \quad \text{(6)}
\]

\[
P_s = \frac{N_s C_s}{\sum_{k=1}^{N_s} N_k C_k} \quad \text{(7)}
\]

with

\[
\left(\sum_{k=1}^{N_s} P_k\right) + P_s = 1 \quad \text{(8)}
\]

Concentrations \(C_k\) and \(C_s\) and frequencies \(N_k\) and \(N_s\) may be used to calculate the average concentration \(C_m\) in the monitored area:

\[
C_m = \frac{\sum_{k=1}^{N_s} N_k C_k}{\sum_{k=1}^{N_s} N_k} + N_s C_s \quad \text{[µg m}^{-3}\text{]} \quad (9)
\]

Similarly, concentration contributions \(D_k\) and \(D_s\) may be calculated, using either relative contributions \(P_k\) and \(P_s\), respectively, and the average concentration \(C_m\) or relative frequencies \(R_k\) and \(R_s\), respectively, and the average concentration \(C_t\):

\[
D_k = P_k C_m = R_k C_k \quad \text{[µg m}^{-3}\text{]} \quad (10)
\]

\[
D_s = P_s C_m = R_s C_s \quad \text{[µg m}^{-3}\text{]} \quad (11)
\]

with

\[
\left(\sum_{k=1}^{N_s} D_k\right) + D_s = C_m \quad \text{(12)}
\]

This approach corresponds with that used in dispersion models where long-term (yearly) concentrations of a pollutant are the sum of contributions corresponding to concentrations for individual standardized meteorological situations multiplied by the average frequency of these situations (Bubnik et al. 1998). As is the case with \(D_k\) and \(D_s\), relative frequencies \(R_k\) and \(R_s\) and a selected limit value \(LV\) may be used to determine maximum permissible concentration contributions \(D_{k,max}\) and \(D_{s,max}\), respectively:

\[
D_{k,max} = R_k LV \quad \text{[µg m}^{-3}\text{]} \quad (13)
\]

\[
D_{s,max} = R_s LV \quad \text{[µg m}^{-3}\text{]} \quad (14)
\]

If concentration contributions \(D_k\) or \(D_s\) are greater than maximum permissible concentration contributions...
concentration contributions in sectors \( k \) may be simply verified.

The reliability of the estimate may be considerably increased if nearly all sources are considered, for instance, the height of sources (a more accurate estimate requires consideration of sources under calm wind conditions that is unable to provide adequate information about contributions of sources in individual sectors \( k \). This contribution may be apportioned among individual sectors or sources using approaches for calm wind periods in dispersion models (Bubník et al. 1998).

The total concentration contribution \( D_k \) over a calm wind period may be roughly apportioned among sectors \( k \) or individual sources \( j \) according to the following formula, assuming that the emission flow of the pollutant resembles a cylinder with radius \( X \) and height \( L \):  
\[
D_{skj}(calc) \equiv \frac{Q_{kj}CF Ts}{2\pi X_{kj}^2 L} \quad [\mu g \cdot m^{-3}]  
\]  

with  
\[
\sum_{k=1}^{16} \sum_{j=1}^{N_{kj}} D_{skj} = D_s  
\]  

In addition to emission flow of a source \( Q_{kj} \) [t/year] and its distance from a sampling point \( X_{kj} \) [m], such a concentration contribution is also dependent on duration \( T_s \) of the calm wind period and the average height of the atmospheric mixed layer \( L \) [m] (US EPA 1999). The conversion factor \( CF \) is 114,155.25 [year \( \times \mu g / h \times t \)]. Although this is only a rough estimate as it does not consider, for instance, the height of sources (a more accurate estimate requires the use of a dispersion model for calm wind conditions), it is sufficient for the purpose as seen from experimental data. The reliability of the estimate may be considerably increased if nearly all sources are considered and the fulfillment of the condition in formula (15) may be verified.

Thus, concentration contributions of sources \( j \) in sectors \( k \) are calculated as follows:

\[
D_{k, tot} = D_k + \sum_{j=1}^{N_{kj}} D_{skj} \quad [\mu g \cdot m^{-3}]  
\]  

where \( N_{kj} \) is the number of sources \( j \) in sector \( k \).

Maximum permissible concentration contributions of individual sources under calm wind conditions may be roughly estimated in sixteen sectors \( k \) by evenly apportioning maximum permissible concentration contribution \( D_s \) among all the considered sources \( j \):

\[
D_{skj,max} = D_s / (N_{kj} \cdot 16)  
\]

with

\[
\sum_{k=1}^{16} \sum_{j=1}^{N_{kj}} D_{skj,max} = D_{s,max}  
\]

Therefore, total maximum permissible contributions of sources in sectors \( k \) are calculated as follows:

\[
D_{k, max,tot} = D_{k, max} + \sum_{j=1}^{N_{kj}} D_{skj,max} \quad [\mu g \cdot m^{-3}]  
\]

Total necessary reductions in concentration contributions of all sources in sectors \( k \) are calculated as:

\[
\Delta D_{k,tot} = D_{k,tot} - D_{k, max,tot} \quad [\mu g \cdot m^{-3}]  
\]

If a selected sector \( k \) contains \( N_{kj} \) sources, an adequate dispersion model, i.e. calculation, may be used to determine the relevant concentration contributions \( D_{kj}(calc) \) valid at the sampling point. In this case, data on concentrations are obtained not from measurements but from a dispersion model and may not therefore be valid (see the reasons stated in the Introduction). Validity of dispersion model results may be simply verified using the following formula for summing up concentration contributions \( D_{kj}(calc) \):

\[
\sum_{j=1}^{N_{kj}} D_{kj}(calc) = D_{k,tot} \quad [\mu g \cdot m^{-3}]  
\]

If the relation is not fulfilled, data on concentrations from the dispersion model do not correspond with the measured data and model calculation results have to be corrected. Necessary reductions in concentration contribution \( \Delta D_{kj} \) of a source in the monitored area may be determined analogically to those in case of \( \Delta D_k \), as seen from formula (15):

\[
\Delta D_{kj} = D_{kj}(calc) - D_{kj, max,tot} \quad [\mu g \cdot m^{-3}]  
\]

Additionally, a suitable dispersion model and the necessary reductions in concentration contributions in the particular area may be used to determine necessary reductions of emissions for each source, potentially leading to adherence to the adopted limit values.

**Equipment and Software**

To verify the methods, data from monitoring stations were processed with the statistical software Stata (Stata Corp., Release 9, College Station, Texas, USA) and the spreadsheet program Excel (Microsoft Corp., Worldwide, USA).

**Results**

The above methods were verified using experimental data from measuring stations in some boroughs of the city of Ostrava included in the national network consis-
tent with the Directive. The measuring station in one of the city boroughs (Radvanice and Bartovice) is referred to as B in Fig. 2. In this as well as other areas of the city, measuring stations recorded readings above limit values for pollutants, especially particulate matter. All hourly average concentrations and data on wind direction and speed were obtained over several years (all seasons over a period of six years).

Data used to verify the above method are graphically summarized in Fig. 3 for PM$_{10}$ and in Fig. 4 for NO$_2$. The detailed results are listed only for PM$_{10}$ in numerical form because of the possible scope of the article, as a demonstration of the above method.

Table 1 clearly shows that relative contributions of pollutants from sectors $k = 10$, 11 and 12 are $\Sigma P_k = 0.34$ and relative contributions from all sectors under calm wind conditions are $P_s = 0.30$. This corresponds with concentration contributions $\Sigma D_k = 20.28$ [µg/m$^3$] and $D_s = 17.85$ [µg/m$^3$]. If maximum permissible concentration contributions are $D_{k,max} = 9.91$ [µg/m$^3$] for these three sources and $D_{s,max} = 12.88$ [µg/m$^3$] for all sectors under calm wind conditions, the necessary reductions in concentration contributions are $\Delta D_{10,11,12} = 10.37$ [µg/m$^3$] and $\Delta D_s = 4.97$ [µg/m$^3$], respectively.

There are numerous important sources of pollution in Ostrava. To illustrate the application of the above meth-
Concentration contribution $D_s$ and the relevant necessary reduction $\Delta D_s$ for all sources under calm wind conditions may be divided into concentration contributions for individual sources $D_{skj}$ and necessary reductions in concentration contributions for individual sources under calm wind conditions $\Delta D_{skj}$ using formulae (17) to (22). For sources shown in Fig. 5, characterized by variables $Q_{skj} = 1246.48 \, [t/\text{year}], X_{kj} = 2599 \, [m]$ for distances of sources from the sampling point from 1570 up to 3670 meters, $T = 50 \, [h], L = 200 \, [m]$ (CHMI, 2008), are calculated: $D_{skj} = 1.27 \, [\mu g/m^3], D_{skj,max} = 2.42 \, [\mu g/m^3]$ and $\Delta D_{skj} = -1.14[\mu g/m^3]$.

Thus, the total concentration contribution for all sources in sectors $k$, 10, 11 and 12 is $D_{k,tot} = 20.28 + 1.27 = 21.55 \, [\mu g/m^3]$, the maximum permissible concentration contribution is $D_{k,max,tot} = 9.91 + 2.42 = 12.33 \, [\mu g/m^3]$ and $\Delta D_{k,tot} = 10.37 + (-1.14) = 21.55 - 12.33 = 9.22 \, [\mu g/m^3]$. The example is described in order to establish the validity of the above method.

Discussion

The above methods propose several parameters simply describe the estimation of concentration contributions of individual sources or groups of sources to pollution of a particular area. Such pollution, if approximately equal to or greater than the limit values, must be, in accordance with the Directive (DIRECTIVE 2008/50; EC 2008) assessed using measured data and not dispersion (Bubník 1998; Cimorelli et al. 2004) or receptor (Hopke et al. 2010; Zeng et al. 2010) modelling. This rule is respected by the proposed methods and the parameters are calculated exclusively from measured data and modelling may only be used to obtain more accurate results.

The basic parameter of the methods is relative contribution $P_k$ of a selected pollutant brought to the monitored area from a particular direction (i.e. sector $k$; see formulae (1) to (6) in section Measurements, if the wind speed is $>0.5 \, \text{m/s}$ (i.e. not under calm wind conditions; see below) (Donnelly et al. 2011; Henry et al. 2012). To determine this parameter, more detailed measured data should be used, such as hourly average concentrations and corresponding hourly average wind direction and speed values over a longer time period. Given the relatively large amount of such data (theoretically, 365 days $\times$ 24 hours $= 8,760$ hourly values), high statistical power of the results may be assumed; fluctuations in annual data (from all seasons) should be compensated for by using data obtained over three or more years (similar to the Average Exposure Indicator as defined by the Directive). In the present study, hourly values obtained over six years were used, with the number of values (sum of $N_k$) from a single measuring station exceeding 40,000 (see Table 1).

Concentration contribution $D_s$ of a pollutant is simply calculated from relative contribution $P_k$ and average concentration in the area $C_{mr}$, see formula (10).

The above parameters are not valid under calm wind conditions, that is, if wind speed exceeds 0.5 m/s. Although this value was also experimentally determined in this study, the results are beyond the scope of this article. Since the value found in the present study is consistent with that published by the US EPA (AERMOD, 2004), calm wind conditions were defined as wind speed $\leq 0.5 \, \text{m/s}$. Given the fact that under calm wind conditions, all neighbouring sources contribute to pollution at the sampling site, parameters for all sources in the area together were first calculated, that is, relative contribution $P_k$ using formula (7), concentration contribution $D_s$ using formula (11) and maximum permissible concentration contribution $D_{s,max}$ using formula (14), and then apportioned among individual sources. For such apportionment, the following must be known: emission flow of the pollutant $Q_{skj}$, height of the atmospheric boundary layer $L$ and average duration of calm wind periods $T_r$. Although this is only a rough approximation that may not provide accurate results for areas and sources that differ considerably in height, our experiences have shown that it is likely to be applicable in most cases. However, it must be remembered that the calculation is only used for calm wind conditions, which are rather sporadic in some areas. In the area monitored in the present study, calm wind conditions accounted for approximately 30% of the 6-year period (see $R$ in Table 1), that is, they were relatively very common (the monitored area is known for frequent and long periods of smog and calm wind). Yet, based on our experiences with monitoring and dispersion modelling, the results obtained with the aforementioned methods are not far from the truth. The average height of the atmospheric mixed layer $L$ may be calculated or measured (US EPA 1999).

The proposed methods have been practically verified by calculations using accurate data. The article shows sample calculations related to several sources of pollution within a single large industrial Plant X on the outskirts of a city (a population of approximately 300,000) and a
measuring station located in a residential area considerably affected by them. The long-term average concentration of PM$_{10}$ was 60.3 µg/m$^3$, with the limit value being set at 40 µg/m$^3$. Thus, to meet the limit value, the amount of particulate matter emissions from the sources in the plant would have to be reduced by $\Delta D_k = 10.37$ [µg/m$^3$] under windy conditions and by $\Delta D_{skj} = -1.14$ [µg/m$^3$] under calm conditions at the site of the measuring station, that is, by a total of $\Delta D_{k,tot} = 9.22$ [µg/m$^3$]. To achieve the total necessary reduction in concentration contributions $\Sigma \Delta D_k + \Delta D_{skj} = 20.28$ [µg/m$^3$], the other sources account for the remaining reduction by 11.06 [µg/m$^3$].

Data on the necessary reduction of concentration contributions $\Delta D_{k,tot}$ may be used by the source operator to model the amount of pollutants emitted by the source to meet the limit values for pollutants in ambient air, that is, not only the emission limits. Moreover, these data should include national and regional action plans that, in accordance with the Directive, should ensure that the population is exposed to acceptable air pollutant levels over a defined period of time. If the plans for reducing concentrations of air pollutants only contain technical measures to reduce emissions and the unsatisfactory condition is not corrected over time, the source operator remains unpunished and the population continues to be exposed to increased health risks.

Conclusion

The presented approximate source apportionment is a simple mathematical application using measured data available from any measuring station compliant with Directive 2008/50/EC, that is, where limit values for ambient air pollutants are exceeded. Data on concentrations of ambient air pollutants, corresponding meteorological data and some available data on sources of air pollution and their groups are used to calculate concentration contributions of selected pollutants relevant to individual sources. Subsequently, necessary reduction of these concentrations is determined so that the total contribution of all sources does not exceed the limit values defined by the Directive. The methodology was tested using data relevant to a particular source of pollutants.

Although the above methods are only a first approximation for obtaining information on source apportionment in a monitored area they may be a sufficient and fair starting point for developing air quality plans in accordance with the Directive. Unfortunately, the document does not contain even minimal guidance on how to make individual source operators reduce their emissions. If air quality plans developed in accordance with the Directive comprised requirements for reduction of concentration contributions, the presented approximate methods could be used to determine maximum permissible concentration contributions of every source more accurately so that the limit values are adhered to. The presented calculations for a selected group of sources within a single large plant demonstrate the practical use of the methods. The total contribution of the plant adjacent to a residential area where a monitoring station is located was 20.28 µg/m$^3$, being composed of a contribution under non-zero air flow conditions and a contribution under calm conditions. To ensure that the limit values as defined by the Directive are not exceed in the zone, when all sources of pollution are considered, the contribution would have to be reduced by 10.37 µg/m$^3$. This requirement should be incorporated in the ambient air quality improvement plan so that reverse modelling could be used to define relevant reductions of emissions for each source and the feasibility or non-feasibility of corrective measures could be determined, potentially leading to additional decisions. After the time limit for applying corrective measures expires, fulfillment of the requirement implemented in the air quality plan may be checked using the same methods.

REFERENCES


